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## **ELECTRONIC SOFTWARE LICENSE WITH SOFTWARE PRODUCT INSTALLER IDENTIFIER**

### **FIELD OF THE INVENTION**

10           The present invention generally relates to providing tools to reduce software piracy. More particularly, the present invention relates to an electronic software license having an installer identifier that restricts the use of the software license to a particular software product.

### **15   BACKGROUND OF THE INVENTION**

          The distribution of software products exposes software manufacturers to an increased risk of software piracy. Because software products are generally distributed on some tangible media, such as a compact disk (CD), a software purchaser must be generally able to duplicate  
20   a software product from the media onto the purchaser's hard drive to properly use the software. Usually, a software product purchaser purchases only a license to use the software on a single computer (machine). Unfortunately, it can be difficult to limit the single-computer license purchaser to making only a single copy for the purchaser's single-computer

use. Often, the purchaser will duplicate the software for use on other computers. Criminals will even duplicate the software for re-sale to others.

In an effort to reduce software piracy, various attempts have been made to limit the ability of users to duplicate software. In one approach, software is distributed with a printed password associated with the product packaging (e.g., printed on a CD case). The printed password corresponds to a stored password that is encoded into the software. When a user duplicates the software onto a hard drive, the user is prompted to input the printed password before the duplicated software will run for the first time. The input password is compared to the stored password that is encoded into the software. The software will be enabled only if a match is made. Unfortunately, this approach fails to prevent software pirates from creating illicit duplicates of the software. As long as a software pirate provides a copy of the printed password with each illicit copy of the software, the illicit copies will install and function properly.

Another attempt to limit the ability of a user to duplicate a software product, involves verifying the presence of an electronic software license, prior to enabling the execution of the software product. In this approach, an electronic license is generated and stored on the user's computer (e.g., hard drive) when the user attempts an installation of the software product. Subsequently, when the user attempts to run (i.e., execute) the software product, the software product will not execute if the electronic license is not present. However, this approach also has a shortcoming: the license can be copied and used with another software product that uses a similar licensing system. That is, a software pirate could

purchase and install one software product and then copy the license from his hard drive to be used with other software products. Thus, the installed license could be misused to pirate other software products.

5 The anti-piracy approaches described above fail to prevent a user from using the electronic license provided by installing Product A to enable the use of an illicit copy of Product B. Therefore, there is a need in the art for an anti-piracy software license that will require a user to generate an electronic license to use a software product, but will also prohibit the duplication of a license for use with an illicit copy of a separate product.

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## SUMMARY OF THE INVENTION

The present invention meets the above-described needs by providing an anti-piracy software licensing system that associates a license file with a particular software product, so that the license file cannot be used  
15 with a separate product. The present invention associates the license with one and only one product by adding an Installer Identifier (IID) to the license file. The IID is an identification number that is used to identify a software product by characterizing the media on which the software product originally resides. The IID may be generated by "hashing" the files on the  
20 media containing a particular software product or by any other means for associating the IID with the particular, licensed software product.

At set-up, a set-up or installation program can be executed to install the software product. The set-up program requires that a user enters a product key associated with the software media (e.g., printed on a label on  
25 the media). Once the user has entered the correct product key, the set-up

program will transfer the required run-time files and the license file on the media from the software media to the user's hard drive. The set-up program will then generate the IID based on a characteristic of the media and will check the generated IID against the IID stored in the license file on the same media. A match will verify that the license file on the media (i.e., the media license file) was not tampered with prior to installation and that the license file was intended to be used with the software product on the media. If there is a match, the media license file and a hardware identifier (HWID), identifying the computer on which the software is being installed, are stored in a hardware signature file. However, if there is not a match, then the installation will be halted and the user will be informed of the inability to install the software.

At run-time the locally stored license file (i.e., local license file) with the HWID of the local machine is computed and compared with the license in the hardware signature file. This is done to verify that local license file was not tampered with after installation has been completed. If there is a match it is assumed that the local license file is properly associated with the installed software product and execution of the software product is enabled. If there is not a match, the user will be prompted to repair the software.

In one aspect of the invention, a method is provided for restricting the installation of a software product. An installer identifier is generated and compared to a previously stored installer identifier. If the generated installer identifier and the stored installer identifier match, a license file is stored and a complete installation of the software product is

enabled. The license file can be subsequently accessed to enable the execution of the completely installed software product.

In another aspect of the invention, a method is provided for restricting the installation and execution of a software product. An installer identifier is generated and compared to a previously stored installer identifier. If the generated installer identifier and the stored installer identifier match, a license file is stored and a complete installation of the software product is enabled. In addition, execution of the software product is enabled, in response to a determination that a proper license file has been stored.

In yet another aspect of the invention, a system is provided for restricting the installation of a software product. A set-up program generates an installer identifier representing a characteristic of the software product media containing the software product. The set-up program also compares the generated installer identifier to a stored installer identifier and enables the installation of the software product's run-time files in response to a determination that the generated installer identifier matches the stored installer identifier.

The various aspects of the present invention may be more clearly understood and appreciated from a review of the following detailed description of the disclosed embodiments and by reference to the drawings and claims.

## BRIEF DESCRIPTION OF THE DRAWINGS

Figure 1 is a block diagram illustrating an exemplary operating environment for implementing of the present invention.

Figure 2 depicts an exemplary installation transaction between a  
5 computer and a software product media.

Figure 3 depicts an installation transaction performed by an exemplary embodiment of the present invention.

Figure 4 depicts an exemplary software product media containing a software product that can be protected by an exemplary  
10 embodiment of the present invention.

Figure 5 is a flow chart depicting an exemplary method for installing a software product that is protected by a software license and an installer identifier.

Figure 6 is a flow chart depicting an exemplary method for  
15 enabling and disabling the execution of a software product that is protected by a software license and an installer identifier.

## DETAILED DESCRIPTION

In an exemplary embodiment of the present invention, an anti-  
20 piracy software licensing system is provided that associates a license file to a particular software product, so that the license file cannot be used with a separate product. This embodiment of the present invention associates the license with one and only one product by adding an Installer Identifier (IID) to the license file. The IID is an identification number that is used to  
25 identify a software product by characterizing the media on which the

software product originally resides. The IID may be generated by "hashing" the files on the media containing a particular software product or by any other means for associating the IID with the particular, licensed software product.

5           At set-up, a user can insert the software media and run a set-up program. The set-up program will usually require that the user enters a product key associated with the software media (e.g., printed on a label on the media). Once the user has entered the correct product key, the set-up program will transfer the required run-time files and the license file on the  
10   media (media license file) from the software media to the user's hard drive. The set-up program will then generate the IID based on a characteristic of the media and will check the generated IID against the IID stored in the license file on the same media. A match will verify that the media license file was not tampered with prior to installation and that the license file is  
15   intended to be used with the software product on the media. If there is a match, the media license file and a hardware identifier (HWID), identifying the computer on which the software is being installed, are stored in a hardware signature file. However, if there is not a match, then the installation will be halted and the user will be informed of the inability to  
20   install the software.

          At run-time the locally stored license file (i.e., local license file) with the HWID of the local machine is computed and compared against the license in the hardware signature file. This is done to verify that local license file was not tampered with after installation has been completed. If there is a  
25   match it is assumed that the local license file is properly associated with the

installed software product and execution of the software product is enabled. If there is not a match, the user will be prompted to repair the software.

Exemplary embodiments of the present invention will hereinafter be described with reference to the drawings, in which like numerals represent like elements throughout the several figures. Figure 1 illustrates an exemplary operating environment for implementation of the present invention. The exemplary operating environment includes a general-purpose computing device in the form of a conventional personal computer **20**. Generally, a personal computer **20** includes a processing unit **21**, a system memory **22**, and a system bus **23** that couples various system components including the system memory **22** to processing unit **21**. System bus **23** may be any of several types of bus structures including a memory bus or memory controller, a peripheral bus, and a local bus using any of a variety of bus architectures. The system memory includes a read only memory (ROM) **24** and random access memory (RAM) **25**. A basic input/output system (BIOS) **26**, containing the basic routines that help to transfer information between elements within personal computer **20**, such as during start-up, is stored in ROM **24**.

Personal computer **20** further includes a hard disk drive **27** for reading from and writing to a hard disk, not shown, a magnetic disk drive **28** for reading from or writing to a removable magnetic disk **29**, and an optical disk drive **30** for reading from or writing to a removable optical disk **31** such as a CD-ROM or other optical media. Hard disk drive **27**, magnetic disk drive **28**, and optical disk drive **30** are connected to system bus **23** by a hard disk drive interface **32**, a magnetic disk drive interface **33**, and an optical



disk drive interface **34**, respectively. Although the exemplary environment described herein employs hard disk **27**, removable magnetic disk **29**, and removable optical disk **31**, it should be appreciated by those skilled in the art that other types of computer readable media which can store data that is accessible by a computer, such as magnetic cassettes, flash memory cards, digital video disks, Bernoulli cartridges, RAMs, ROMs, and the like, may also be used in the exemplary operating environment. The drives and their associated computer readable media provide nonvolatile storage of computer-executable instructions, data structures, program modules, and other data for personal computer **20**.

A number of program modules may be stored on hard disk **27**, magnetic disk **29**, optical disk **31**, ROM **24**, or RAM **25**, including an operating system **35**, a set-up program **36**, a local license file **38**, and a hardware signature file **39**. Program modules include routines, sub-routines, programs, objects, components, data structures, etc., which perform particular tasks or implement particular abstract data types. Aspects of the present invention may be implemented in the form of a set-up program **36** that can be incorporated into or otherwise in communication with a local license file **38**. The set-up program **36** generally comprises computer-executable instructions for setting-up and installing a software product. The local license file **38** generally comprises computer-executable instructions and/or data enabling the installation and/or execution of a software product associated with the license file. The local license file **38** is generally accessible to the set-up program **36**, but can also be implemented as an integral part of the set-up program.

A user may enter commands and information into personal computer **20** through input devices, such as a keyboard **40** and a pointing device **42**. Other input devices (not shown) may include a microphone, joystick, game pad, satellite dish, scanner, or the like. These and other input  
 5 devices are often connected to processing unit **22** through a serial port interface **46** that is coupled to the system bus **23**, but may be connected by other interfaces, such as a parallel port, game port, a universal serial bus (USB), or the like. A display device **47** may also be connected to system bus **23** via an interface, such as a video adapter **48**. In addition to the  
 10 monitor, personal computers typically include other peripheral output devices (not shown), such as speakers and printers.

The personal computer **20** may operate in a networked environment using logical connections to one or more remote computers **49**. Remote computer **49** may be another personal computer, a server, a client, a  
 15 router, a network PC, a peer device, or other common network node. While a remote computer **49** typically includes many or all of the elements described above relative to the personal computer **20**, only a memory storage device **50** has been illustrated in the figure. The logical connections depicted in the figure include a local area network (LAN) **51** and a wide area network  
 20 (WAN) **52**. Such networking environments are commonplace in offices, enterprise-wide computer networks, intranets, and the Internet.

When used in a LAN networking environment, the personal computer **20** is often connected to the local area network **51** through a network interface or adapter **53**. When used in a WAN networking  
 25 environment, the personal computer **20** typically includes a modem **54** or

other means for establishing communications over WAN **52**, such as the Internet. Modem **54**, which may be internal or external, is connected to system bus **23** via serial port interface **46**. In a networked environment, program modules depicted relative to personal computer **20**, or portions thereof, may be stored in the remote memory storage device **50**. It will be appreciated that the network connections shown are exemplary and other means of establishing a communications link between the computers may be used.

Moreover, those skilled in the art will appreciate that the present invention may be implemented in other computer system configurations, including hand-held devices, multiprocessor systems, microprocessor based or programmable consumer electronics, network person computers, minicomputers, mainframe computers, and the like. The invention may also be practiced in distributed computing environments, where tasks are performed by remote processing devices that are linked through a communications network. In a distributed computing environment, program modules may be located in both local and remote memory storage devices.

Figure 2 depicts an exemplary installation transaction between a computer and a software product media. In Figure 2, a hard drive **200** transmits an installation request to a software product **202**. Typically, an installation request will constitute an instruction to install the software product **202** on the hard drive **200**. Often, this is done by executing a set-up program that is located on the software product **202**. Those skilled in the art

will appreciate that virtually any means of triggering an installation of a software product **202** could be used.

In response to the installation request, the software product **202** can transmit a software license to the hard drive **200**. The software license is  
5 a security file that can be used to verify that the user is attempting to install an authorized copy of the software product. When execution of the installed software product is subsequently attempted, the presence of the software license on the hard drive can be verified prior to enabling execution. Execution of the installed software product can be disabled in the absence of  
10 an acceptable software license file. Execution of the installed software product will be enabled, when the presence of an acceptable software license is determined.

As an additional anti-piracy step, the installation transaction may require the entry of a software product key. The software product key  
15 is a password associated with the software product. Installation and/or subsequent execution of the software product may be disabled, in response to the entry of an incorrect software product key. A software product key is often physically associated with the software product, such as by a label affixed to the software product media containing the software product.

20 The installation transaction depicted in Figure 2 can reduce the use of illicit copies of software products by disabling those products. However, a software anti-piracy system utilizing this transaction is susceptible to circumvention. For example, if the software license is provided as a license file, the file can be duplicated and used to enable the  
25 execution and/or installation of illicitly duplicated software products. When

an illicitly installed software product on a hard drive **200** checks for a license file, execution may be inadvertently enabled by the recognized presence of an illicitly duplicated license file. Moreover, the license file for one software product may be duplicated and used to enable the execution of an  
5 illicitly installed copy of a second software product.

Therefore, an anti-piracy system utilizing the installation transaction depicted in Figure 2 can be improved by limiting the use of a license file to enabling the execution of only one software product. Embodiments of the present invention so limit the use of a license file, by  
10 associating the license file with at least one characteristic of the software product.

Figure 3 depicts an installation transaction performed by an exemplary embodiment of the present invention. As with the installation transaction described in connection with Figure 2, the installation transaction  
15 depicted in Figure 3 includes the transfer of a software license from a software product **302** to a hard drive **300** in response to an installation request. However, the installation transaction of Figure 3 also involves the transfer of an installer identifier (IID). The IID provides a connection between the transferred license file and the software product **302**. In an  
20 exemplary embodiment of the present invention, the IID is an identifying characteristic of the software product **302**. For example, the IID may be a "hash" value representing all of the files on the media containing the software product **302** (e.g., a compact disc). A hash value is a well-known means for adding or summarizing data to generate a number characterizing  
25 the data. A hash value can be used, for example, for error checking the

underlying data. It will be appreciated by those skilled in the art that various other identifying characteristics of the software product **302** could be used in accordance with embodiments of the present invention.

Figure 4 depicts an exemplary software product media **400**  
5 containing a software product that can be protected by an exemplary embodiment of the present invention. The software product media **400** contains all of the necessary files to execute a software product. The software product media **400** also contains a license file **404**. As described above, the license file **404** can be transferred to a computer to limit the  
10 execution of the software product. The software product media **400** can also include a file list **402** containing a list or a summary of all of the files contained by the software product media **400**. Alternatively, the file list **402** could be generated by scanning the software product media **400** and compiling a list of the files found thereon.

15 In an embodiment of the present invention in which the IID is a hash value, the contents of the file list **402** could be processed by a hashing algorithm to generate a hash value. The hash value could be transferred as an IID along with the license file **404**, in order to limit the execution of the associated software product to a computer having a copy of the license file  
20 as well as an accurate record of an IID that matches the hash value of the software product media **400**.

By using both the license file **404** and the IID, an embodiment of the present invention can be used to disable the execution of a software product where the license file and/or the IID do not conform to the  
25 applicable characteristics of software product media **400**. Accordingly,

illicit copies of the software product media can be disabled. Execution of a software product can be disabled when it is recognized that a license file associated with one software product is being used to attempt the execution of a separate software product.

5           Figure 5 is a flow chart depicting an exemplary method for installing a software product that is protected by a software license and an installer identifier. The method of Figure 5 starts at block **500** and proceeds to step **502**. At step **502**, a set-up program is executed. Typically, the first step of the installation of a software product is the execution of a set-up  
10   program. Set-up programs are commonly stored on a software product media and are usually executed directly from the software product media. The method of Figure 5 proceeds from step **502** to step **504**. At step **504**, a prompt for a software product key is generated. As described above in connection with Figure 2, the software product key is typically physically  
15   associated with a software product media. For example, the software product key may be printed on a label that is affixed to a case holding the software product media.

          The method proceeds from step **504** to decision block **506**. At decision block **506**, a determination is made as to whether the proper product  
20   key was received. If the proper product key was not received, the method proceeds to step **510** and ends. Alternatively, the method could at this point prompt the re-entry of the product key or could generate a dialog box with an explanation that the installation had been stopped in response to an incorrect product key. In any case, the method can terminate installation at  
25   step **510** when an incorrect product key has been entered. On the other

hand, if a determination is made at decision block **506** that the proper product key has been received, then the method proceeds to step **508**.

At step **508**, all run-time files can be transferred from the software product media to a hard drive. For the purposes of the description of this exemplary embodiment, run-time files are simply those files needed to execute the software product on the computer associated with the hard drive. The method proceeds from step **508** to step **512**. At step **512**, the license file is transferred from the software product media to the hard drive and is stored as the local license file.

The method proceeds from step **512** to step **514**. At step **514**, an installer identifier (IID) is generated. The IID in this embodiment is generated based on one or more characteristics of the software product. For example, as described in connection with Figure 4, the IID can be a hash value corresponding to a file list that is associated with the soft product media. The method proceeds from step **514** to decision block **516**. At decision block **516**, a determination is made as to whether the generated IID matches a stored IID. The stored IID can be stored within the license file that is stored on the software product media. Thus, it can be assumed that if the generated IID matches the stored IID, then the stored license file corresponds to the software product contained on the software product media from which the generated IID was produced. That is, the match verifies that the proper license file is being used to install the software product from the software product media.

If a determination is made at decision block **516** that the generated IID does not match the stored IID, the method branches to step



**520** and ends. Alternatively, the method could generate a dialog box to display an explanation for the terminated installation transaction. If, on the other hand, a determination is made at decision block **516** that the generated IID matches the stored IID, the method branches to step **518**. At step **518**,  
 5 the license and a hardware identifier are stored in a hardware signature file. The hardware identifier can be used to identify the computer that is the host of the hard drive on which the software product has been installed. Once the license and hardware identifier have been stored, the method proceeds to step **520** and ends. Thus, the method of Figure 5 either successfully enables  
 10 or disables the installation of the software product. As is described in more detail in connection with Figure 6, the license stored in the hardware signature file can be used to enable and disable the execution of the installed software product.

Figure 6 is a flow chart depicting an exemplary method for  
 15 enabling and disabling the execution of a software product that is protected by a software license and an installer identifier. The method of Figure 6 starts at block **600** and proceeds to step **602**. At step **602**, an attempt is made to execute the software product that has been previously installed on a hard drive. The method proceeds to step **604**, wherein the local license file stored  
 20 on the hard drive is compared with the license stored in the hardware signature file. The method then proceeds to decision block **606**.

At decision block **606**, a determination is made as to whether the local license matches the license stored in the hardware signature file. If the local license does not match the license stored in the hardware signature  
 25 file, the method branches to step **608**. At step **608**, a prompt is generated to

re-install the run-time files on the hard drive or to repair the local license file. The method then proceeds to step **610** and ends, thereby disabling execution of the software product. Returning to decision block **606**, if a determination is made that the local license file matches the license in the  
5 hardware signature file, the method branches to step **612**. At step **612**, execution of the software product is enabled. The method then proceeds to step **610** and ends.

By requiring the matching of a generated IID and a stored IID as a prerequisite to storing a license in a hardware signature file, an  
10 exemplary embodiment of the present invention verifies that the use of the license is restricted to enabling the installation and execution of a particular software product. Additionally, by comparing a local license file with the license stored in the hardware signature file, an exemplary embodiment of the present invention prohibits the execution of illicitly installed software  
15 products.

Although the present invention has been described in connection with various exemplary embodiments, those of ordinary skill in the art will understand that many modifications can be made thereto within the scope of the claims that follow. Accordingly, it is not intended that the  
20 scope of the invention in any way be limited by the above description, but instead be determined entirely by reference to the claims that follow.